

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
09/184,401	11/03/98	SEDDON, ET AL	

NIXON & VANDERHYE  
1100 NORTH GLEBE ROAD  
ARLINGTON, VA 22201

EXAMINER

ART UNIT PAPER NO.

DATE MAILED: 01/29/99

**SECREC Y ORDER**

35 U.S.C. §§181-188

and

**Permit for Disclosing Classified or "Classifiable" Subject Matter**

The above-identified patent application contains subject matter which is either **classified** or **"classifiable"**<sup>1</sup> in the opinion of the sponsoring defense agency.

☒ This application is **CLASSIFIED** at the level of: ☐ TOP SECRET

☐ This application is **NOT CLASSIFIED**,  
but would be **CLASSIFIABLE** at the level of:

☐ SECRET

DECLASSIFIED BY ORIGINATING AGENCY  
DECLASSIFIED BY ORIGINATING AGENCY  
CONFIDENTIAL

**ALL PRINCIPALS<sup>2</sup> IN THIS APPLICATION ARE ORDERED TO KEEP THE  
SUBJECT MATTER THEREOF IN SECRECY UNDER 35 U.S.C. §181.**

**The subject matter of this application may not be published or disclosed to any person  
except as specifically authorized herein or subsequently authorized by written  
modification of this Secrecy Order granted by the Commissioner of Patents &  
Trademarks (see 37 CFR §5.5).**

<sup>1</sup> The term "classifiable" as used herein refers to subject matter that is not actually classified but is sufficiently sensitive, in the opinion of the sponsoring defense agency named herein, to have been classified under E.O. 12356 if the subject matter were known to be owned by or under control of the U.S. government.

<sup>2</sup> "Principals" include all patent applicants, their heirs, assignees, licensees and their attorneys and agents.

Unauthorized publication or disclosure of the subject matter of this patent application may result in abandonment of the application (35 U.S.C. §182) and additionally subject the person publishing or disclosing the subject matter to the penalties of 35 U.S.C. §186.

The subject matter of this application must be safeguarded under conditions that will provide adequate protection and prevent access by unauthorized persons. When copies of the subject matter are no longer needed, they should be destroyed by a method that will prevent disclosure of the contents or reconstruction of the document.

Any other patent application already or hereafter filed in the U.S. or any foreign country which contains any significant part of the subject matter of the above-identified patent application also falls within the scope of this Order. If such other patent application is not currently under a Secrecy Order imposed by the U.S. Patent and Trademark Office, it and the common subject matter must be brought to the immediate attention of:

Director, Special Laws Administration  
Attention: Licensing and Review  
U.S. Patent and Trademark Office  
Washington, D.C. 20231.

This Order should not be construed in any way to mean that the U.S. Government has adopted or contemplates adoption of the invention disclosed in this application and it is not any indication of the value of such invention. Additionally, this Order is not authority in and of itself for classification of the subject matter of this patent application.

This secrecy order has been recommended under 35 U.S.C. §181 to the Commissioner of Patents & Trademarks by the following sponsoring defense agency:

(X) ARMY

( ) NAVY

( ) AIR FORCE

Questions regarding the reasons for imposing this Secrecy Order should be directed to the sponsoring defense agency. A contact for each defense agency is attached. Questions regarding the substantive examination of the patent application should be directed to the patent examiner, and questions pertaining to the administrative handling of the patent application or the Secrecy Order should be directed to the Licensing and Review Branch of the Patent & Trademark Office.

#### **Permit for Disclosing Classified or "Classifiable" Information**

**A. If the subject matter of this application is classified:**

Disclosure of the subject matter of this application is authorized to persons with the appropriate personnel security clearance on a "need-to-know" basis under the provisions of Executive Orders 10865 and 12356 and the "Industrial Security Manual for Safeguarding

Classified Information" provided the authorized person to whom the subject matter is disclosed is furnished with a copy of this Secrecy Order and informed that this Order is applicable to the subject matter disclosed.

Any declassification, in whole or in part, of the subject matter of this application does not modify this Secrecy Order. The requirements of this Secrecy Order remain in effect until it is rescinded or modified in writing by the Commissioner of Patents & Trademarks. If the subject matter is declassified, this fact should be brought to the attention of the sponsoring defense agency.

B. If the subject matter of this application is "classifiable" but not classified:

Principals to this Secrecy Order employed at a facility which has a current DoD Security Agreement (DoD Form 441) are authorized to disclose on a "need-to-know" basis the subject matter of this patent application to other persons at that facility having a personnel security clearance at least as high as the level of protection specified on page 1 of this Secrecy Order provided the provisions of the "Industrial Security Manual for Safeguarding Classified Information" (DoD 5220.22-M) are complied with<sup>3</sup> and the authorized person to whom the subject matter is disclosed is furnished with a copy of this Secrecy Order and informed that this Secrecy Order is applicable to the subject matter disclosed.

Principals employed at a facility that does not have a current DoD Security Agreement must obtain prior written modification of this Secrecy Order from the Commissioner of Patents & Trademarks for any further disclosure of the subject matter of this application to any person. See 37 CFR §5.5.

This permit for disclosing classified or "classifiable" information does not authorize disclosure of the subject matter of the patent application through (1) the filing of any foreign application without specific permission of the Patent and Trademark Office, or (2) the export of any item, commodity or technical data without the required export license.

*for Charles T. Jordan*  
John F. Terapane  
Director, Special Laws Administration

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<sup>3</sup> Since the subject matter covered by this Secrecy Order is not actually classified, certain provisions of the Industrial Security Manual (ISM) may not strictly apply, such as derivative classification, downgrading/declassification instructions, and portion and page markings. Facilities encountering problems complying with any provisions of the ISM should directly query the defense agency sponsoring this Secrecy Order for appropriate security guidance.

## DEFENSE AGENCY CONTACT LIST

**ARMY:**

MS. DIANE WINTER  
US ARMY PATENT, COPYRIGHTS & TRADEMARK DIVISION  
OFFICE OF THE JUDGE ADVOCATE GENERAL  
901 NORTH STUART ST.  
ARLINGTON, VA 22203-1837  
(703) 696-8124

**NAVY:**

MS INA GRIFFIN  
OFFICE OF THE CHIEF OF NAVAL RESEARCH  
INTELLECTUAL PROPERTY LAW DIVISION  
ATTN: (OCCC12)  
800 NORTH QUINCY STREET  
ARLINGTON, VA 22217-5000  
(703) 696-4821

**AIR FORCE:**

CAROL SMITH  
DEPARTMENT OF AIRFORCE AF/JACP  
1501 WILSON BLVD. ROOM 805  
ARLINGTON, VA 22209  
(703) 696-9090



REPLY TO  
ATTENTION OF

DEPARTMENT OF DEFENSE  
ARMED SERVICES PATENT ADVISORY BOARD  
OFFICE OF THE JUDGE ADVOCATE GENERAL  
DEPARTMENT OF THE ARMY  
901 N. STUART STREET  
ARLINGTON, VA 22203-1837

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January 21, 1999

Commissioner of Patents and Trademarks  
U.S. Patent and Trademark Office  
Attn: Security Group, Licensing and Review  
Washington, D.C. 20231

Dear Sir:

The following identified application has been examined by The United States Army, a member of the Armed Services Patent Advisory Board, under the provisions of 35 U.S.C. 181.


U.S. Patent Application Serial No. 09/184,401  
Filed: November 3, 1998  
Inventor(s): Nigel Seddon, et al.  
Title: Non-Linear Dispersive Pulse Generator

Prosecuted by: ( ) Government, (X) Contractor, ( ) Unknown Party

This is to notify you in accordance with the above examination that the publication or disclosure of the invention by the granting of a patent thereof would be detrimental to the national security. It is accordingly requested that you order that the invention be kept secret and withhold the grant of a patent for such period as the national interest requires.

It is further requested that the following Secrecy Order issue:

- ( ) Secrecy Order and Permit for Foreign Filing in Certain Countries
  - ECCN Reference
  - ITAR Reference
- (X) Secrecy Order and Permit for Disclosing Classified Information
  - Level of Protection or Classification CONFIDENTIAL –
  - Foreign File in Great Britain
- ( ) Secrecy Order – It is further requested that this Secrecy Order issue with
  - ( ) Permit A (PTO 299)
  - ( ) No Permit

Sincerely,  
  
Diane R. Winter  
Legal Instrument Examiner